

**DECLARATION REGARDING PROFESSIONAL CIVIL LIABILITY COVER
OF INSURANCE AND REINSURANCE BROKERAGE FIRMS AND BROKERS**

FOR THE YEAR

(year of cover)

The insurance undertaking

established at the following
address

- hereby declares being authorised to issue, in the Grand Duchy of Luxembourg, insurance products belonging to class 13 of Annexe I, point A. of Directive 2009/138/CE (« Solvency II »).
- hereby declares that the brokerage firm / broker

(Corporate name /Name & first name)

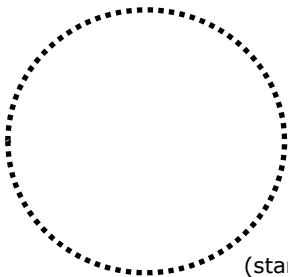
(Address)

as well as any persons authorised to carry out insurance or reinsurance intermediation operations on its behalf, and its other employees are validly covered by a professional indemnity insurance

(Policy No. : _____)

until 31st December of the year of cover shown in the title of the present declaration and fulfils every requirement laid down in article 290, paragraph 4, of the *law of 7 December 2015 on the insurance sector, as amended⁽¹⁾*, and by Article 6 of the *Commissariat aux Assurances Regulation No 19/01 of 26 February 2019 on insurance and reinsurance distribution⁽²⁾*.

For the insurance undertaking,



(stamp)

(Name and first name)

(Signature)

(Place and date of signature)

(1) Article 290, paragraph 4, of the law of 7 December 2015 on the insurance sector, as amended :

(4) Brokers must, moreover, take out an insurance policy with an insurance undertaking authorised to carry out professional indemnity insurance in the Grand Duchy of Luxembourg covering their professional civil liability, where the extent of the guarantees, territorial scope, exclusions and proof of cover shall be fixed by CAA regulation.

(2) Article 6 of the Commissariat aux Assurances Regulation N° 19/01 of 26 February 2019 on insurance and reinsurance distribution

(1) The professional indemnity insurance contract, referred to in Article 290 of the Law, must include an insurance cover, dedicated to the insurance or reinsurance intermediation activity, of at least 1,310,000 Euro per claim and 1,930,000 Euro in aggregate per year. This insurance contract shall cover at least the whole territory of the European Union.

(2) Any deductible must be unenforceable against the injured party.

(3) In order to provide proof of professional civil liability cover as from 1 January 2020, a broker must have the form "declaration of professional liability insurance cover", to be downloaded from the CAA's website, signed by the insurance undertaking granting the cover referred to in paragraph 1 and submitted annually to the CAA before 31 January of the relevant year of cover.

Before 1 January 2020, a broker must provide proof of professional civil liability cover by an insurance certificate issued by the insurance undertaking granting the cover referred to in paragraph 1.