

Commissariat aux Assurances
Distribution Department
11, rue Robert Stumper
L-2557 Luxembourg

I, **[SURNAME / FIRST NAME]** the undersigned,

- Approved auditor (« *réviseur d'entreprises agréé* »),
- Auditor (« *réviseur d'entreprises* ») appointed as « *commissaire aux comptes* » pursuant to article 299 of the law of 7 december 2015 on the insurance sector, as amended;
- Accounting experts appointed as « *commissaire aux comptes* » pursuant to article 299 of the law of 7 december 2015 on the insurance sector, as amended;

of the brokerage firm **[COMPANY NAME (CAA REGISTRATION NUMBER)]** confirm that :

- the amounts of gross premium negotiated during financial year **[N]** reported by the brokerage firm in module CPR.C.0032, line R0070 (of the Global Annual Reporting file, as defined in CAA Circular Letter 23/5, as amended) submitted to the CAA and reproduced below are accurate:
 - o Total gross non-life premiums negotiated : **[AMOUNT OF CELL [R0070;C0010]]**
 - o Total gross life premiums negotiated : **[AMOUNT OF CELL [R0070;C0020]]**
 - o Total gross reinsurance premiums negotiated : **[AMOUNT OF CELL [R0070;C0030]]**

and

- the premiums negotiated and the benefits paid by insurance and/or reinsurance undertakings (hereinafter referred to as '(re)insurance benefits') do not pass through the brokerage firm's bank accounts
- or
- the premiums negotiated and the (re)insurance benefits pass in whole or in part through the brokerage firm's bank accounts and, therefore, in accordance with Article 289 of the law of 7 december 2015 on insurance sector, as amended :

- 1) The brokerage firm has one or more strictly separate customer accounts, **and**
- 2) the brokerage firm uses the strictly separate customer account(s) exclusively for the collection of premiums or the payment of insurance benefits, **and**
- 3) the premiums collected by the brokerage firm are paid by customers exclusively into the strictly separate customer account(s) of the brokerage firm, **and**
- 4) the (re)insurance benefits that pass through the brokerage firm do so exclusively via the strictly separate customers account(s) of that brokerage firm.

Done at **[PLACE OF SIGNATURE]**, on **[DATE]**

[NAME AND SIGNATURE]

This confirmation letter, duly dated and signed, must be provided to the CAA

1. in original form by post or hand delivered, **and**
2. in electronic form by uploading it to "CAA FileShare"

delete the dash(es) that does/do not apply